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Asset and Interest Disclosure (AID) systems in EU Member and Candidate States: an assessment

Gabriele Baratto^{1,2} | Andrea Di Nicola^{1,2} | Beatrice Rigon¹

¹ Centre for Security and Crime Sciences
University of Trento & University of Verona

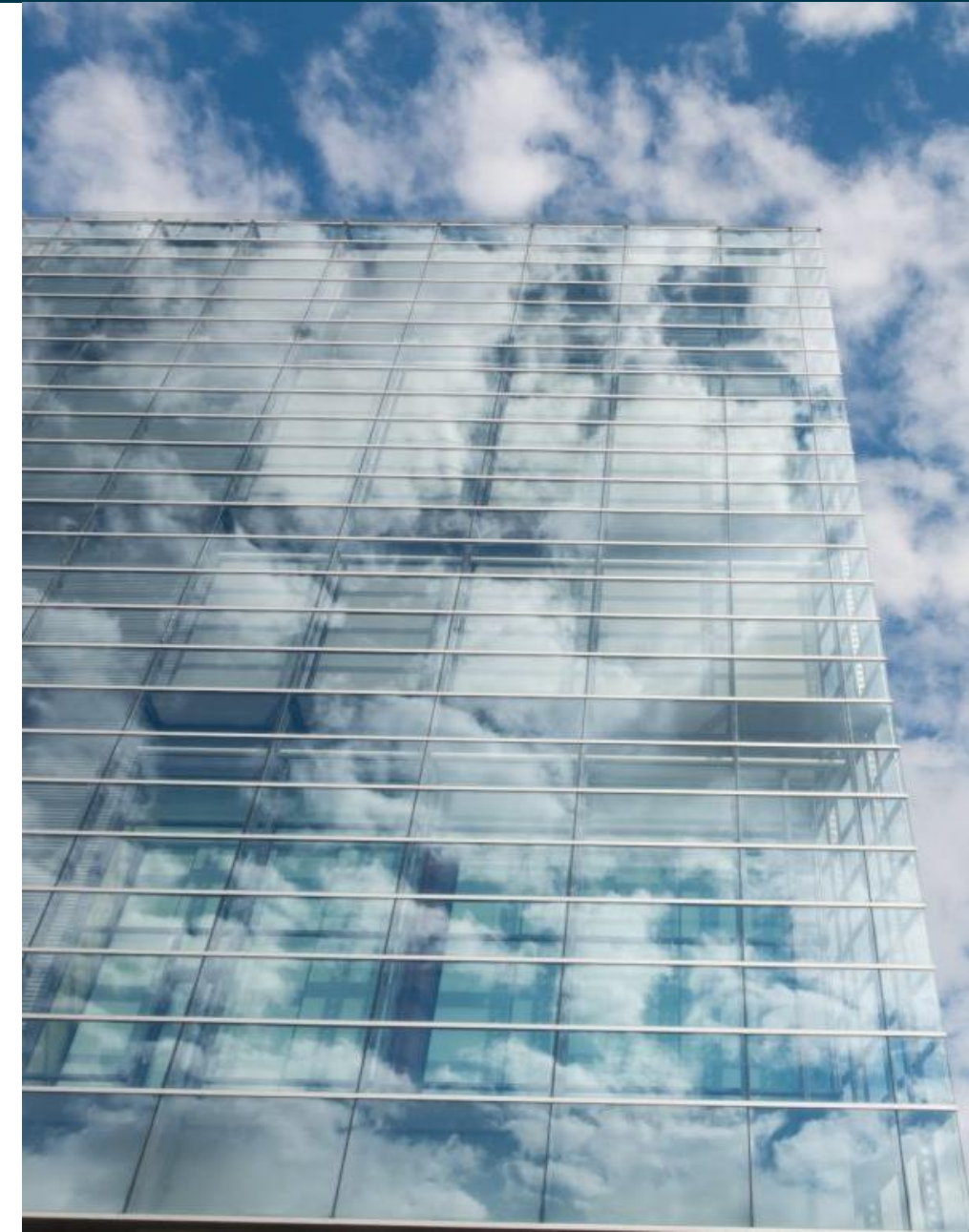
² Faculty of Law – University of Trento



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Introduction

- Asset and Interest Disclosure (AID) systems are increasingly becoming a multipurpose tool to prevent corruption, promote transparency and overall trust in public officials and the civil service
- Tool to collect information about public officials' assets, incomes, revenues and conflicts of interest (existing or potential)
- Aim to build a culture of integrity, foster public officials' accountability, promote the public's trust and fight corruption in the public sector
- Most countries have adopted some form of AID system, but significant differences exist (i.e. focus and scope of the system, who is required to present a declaration, what is to be declared)
- The topic is not widely or comprehensively explored in the literature, especially at the European level



Project qAID

- The **general objective** is to analyse the national asset and interest disclosure (AID) systems in EU MSs and CSs in order to make them more effective and efficient.
- The **specific objectives** are:
 1. Develop and promote an integrated approach to measuring progress and assessing the impact of asset and interest disclosure systems in EU MSs and CSs.
 2. Promote the implementation of best practices and data exchange on asset and interest disclosure systems in EU MSs and CSs, focusing on automated and digital risk analysis.
 3. Enhancing the capacity of anti-corruption institutions in dealing with asset and conflict of interest disclosure in EU MSs and CSs.

The partners



CSSC (Centre for Security and Crime Sciences) – Universities of Trento-Verona
Coordinator | ITALY



CSD (Centre for the Study of Democracy)
Co-Beneficiary | BULGARIA



AGENȚIA NAȚIONALĂ
DE INTEGRITATE (ANI)

ANI (Agenția Națională de Integritate)
Co-Beneficiary | ROMANIA



**REGIONAL
ANTI-CORRUPTION
INITIATIVE**

RAI (Regional Anti-Corruption Initiative)
Co-Beneficiary | BOSNIA AND HERZEGOVINA



ANAC
AUTORITÀ
NAZIONALE
ANTICORRUZIONE

ANAC (Agenzia Nazionale Anticorruzione)
Associated Partner | ITALY

Objective and methodology

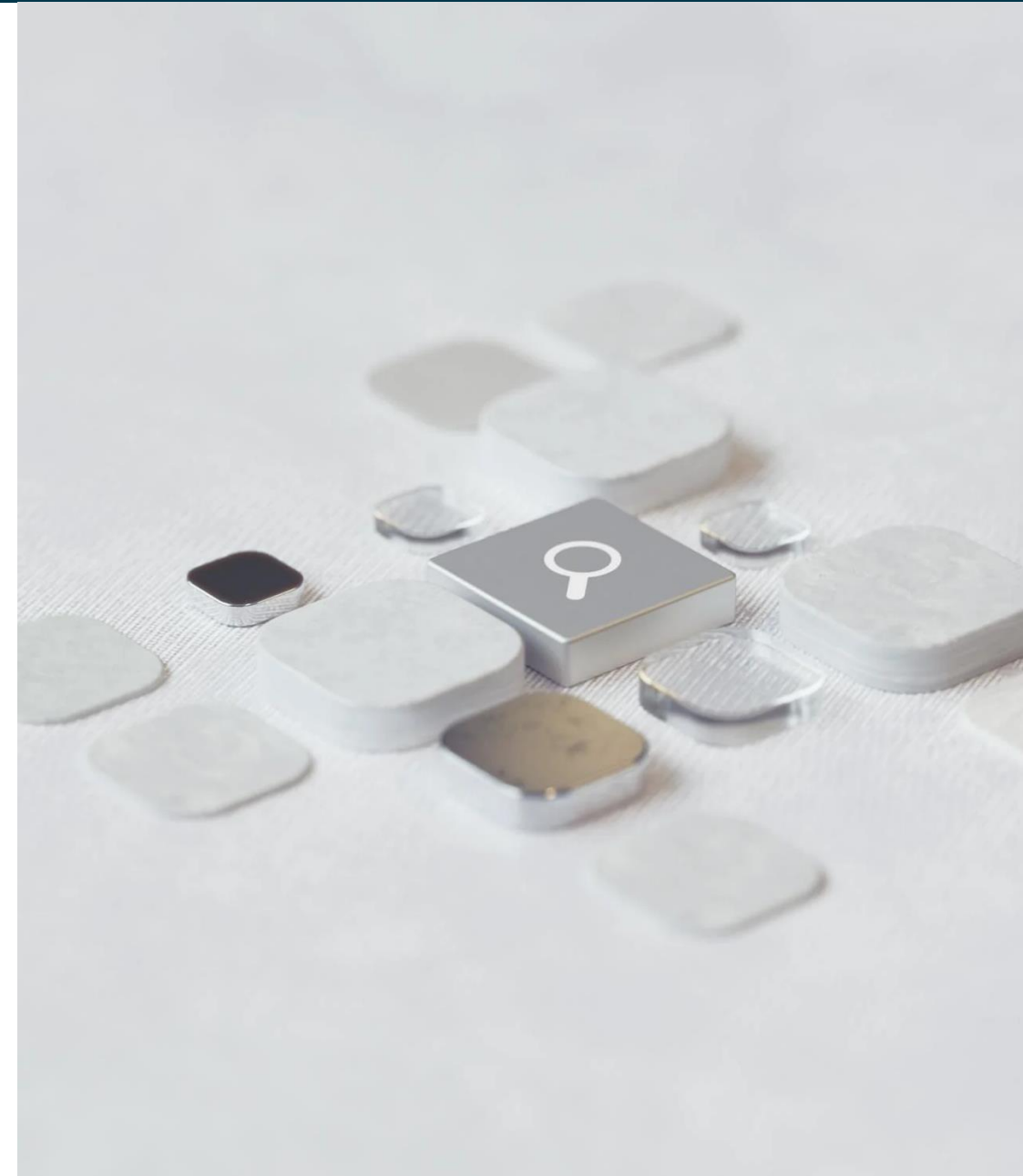
- Online survey
- Extensive desk research
- Pilot test by project's partners
- Administration to anticorruption bodies in each country

Objective

- Mapping of the state of AID systems in MSs and CSs




























































































































Focus

- i. Main features of existing AID systems
- ii. Verification mechanisms of existing AID systems
- iii. Risk-analysis mechanisms (automated or not), with a focus on digitalisation
- iv. Impact assessment methods



Main features

Who needs to declare – Member States

	Declarant	Spouse	Cohabitants	Adult children (in all cases)	Adult children (only if cohabitant)	Non-adult children	Parents	Siblings	Brothers/sisters in law	Other extended family	Friends	Business associates	Other
BG 	  												
HR 													
GR 	  												
IT 	  												
LV 	 												
PL 	 												
PT 	  												
RO 	 												



Politically Exposed Persons (PEPs)













































































































Public officials



Other

Main features

Who needs to declare – Candidate States

	Declarant	Spouse	Cohabitants	Adult children (in all cases)	Adult children (only if cohabitant)	Non-adult children	Parents	Siblings	Brothers/sisters in law	Other extended family	Friends	Business associates	Other
AL 	  												
BiH 	  												
MDA 	  												
MNE 	  												
NMK 													
SRB 	 												
UA 	 												



Politically Exposed Persons (PEPs)











Public officials



Other

Main features

What to declare – Member States

	Immovables	Movables	Securities and stocks	Ownership in commercial entities rather than stock	Beneficial ownership or control in legal entities, trusts and similar legal arrangements	Intangible assets	Accounts in banks or other financial institution	Safe deposit boxes	Money assets	Virtual assets	Legal claims to future payments	Income	Gifts	Financial liabilities	Expenditures	Concurrent employment and activities of the declarant (paid or unpaid)	Previous employment	Rights of agency	Gov. contracts	Other
BG 	✓	✓	✓	✓	✓	✗	✓	✓	✓	✓	✗	✓	✗	✓	✓	✓	✗	✗	✗	✗
HR 	✓	✓	✓	✓	✓	✗	✗	✗	✓	✓	✓	✓	✗	✗	✗	✓	✓	✗	✗	✗
GR 	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✗	✓	✗	✓	✗	✓	✗	✗	✓	✗
IT 	✓	✗	✓	✓	✓	✗	✗	✗	✗	✗	✗	✓	✓	✓	✗	✓	✓	✓	✓	✓
LV 	✓	✓	✓	✓	✓	✗	✓	✗	✓	✗	✗	✓	✓	✓	✓	✓	✗	✓	✗	✓
PL 	✓	✓	✓	✓	✓	✗	✗	✗	✓	✓	✓	✓	✗	✓	✗	✓	✗	✓	✗	✗
PT 	✓	✓	✓	✓	✓	✓	✓	✗	✓	✓	✓	✓	✓	✓	✓	✓	✓	✗	✗	✗
RO 	✓	✓	✓	✓	✗	✗	✓	✗	✓	✓	✗	✓	✓	✓	✗	✓	✗	✗	✓	✗

Main features

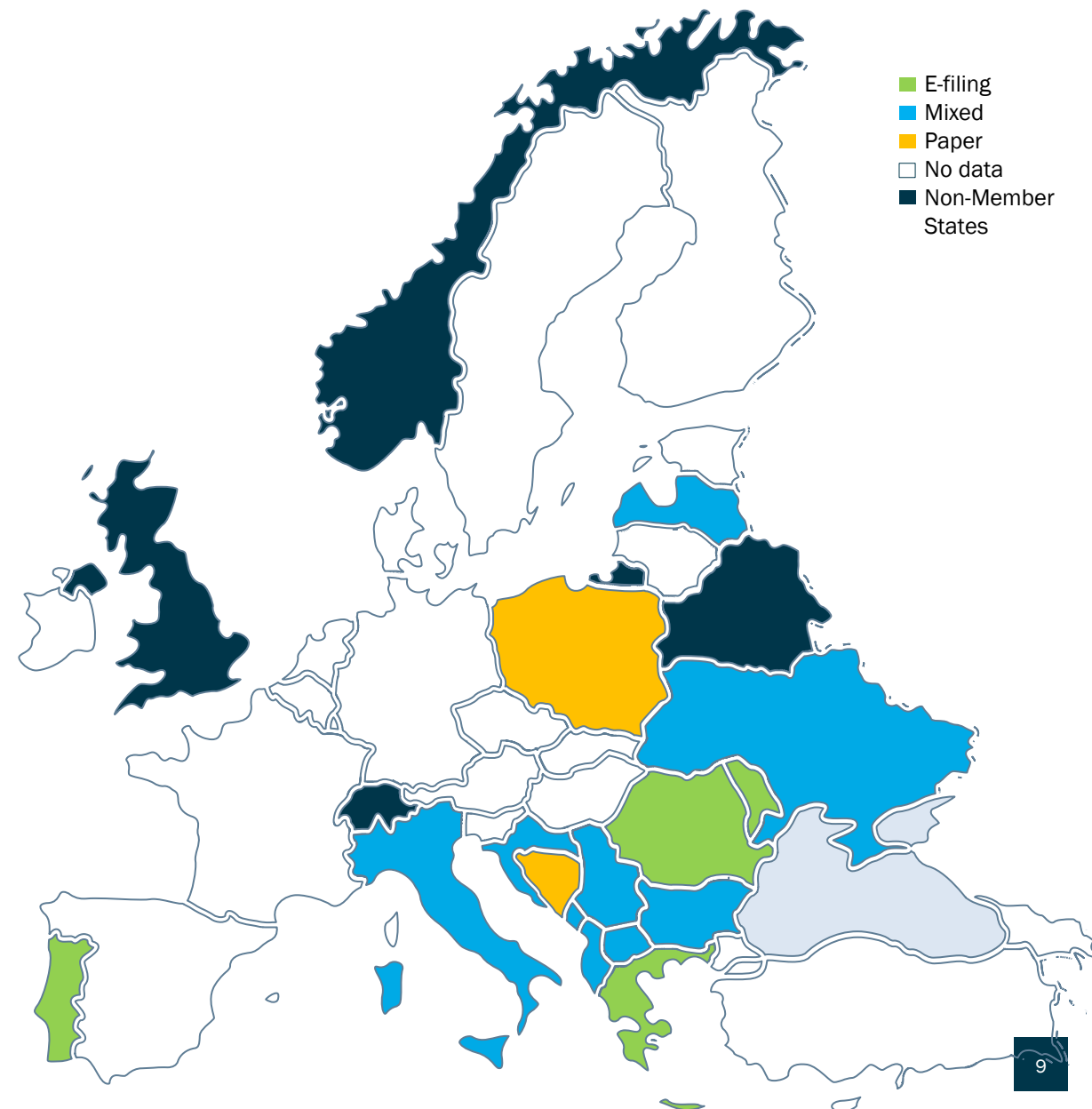
What to declare – Candidate States

	Immovables	Movables	Securities and stocks	Ownership in commercial entities rather than stock	Beneficial ownership or control in legal entities, trusts and similar legal arrangements	Intangible assets	Accounts in banks or other financial institution	Safe deposit boxes	Money assets	Virtual assets	Legal claims to future payments	Income	Gifts	Financial liabilities	Expenditures	Concurrent employment and activities of the declarant (paid or unpaid)	Previous employment	Rights of agency	Gov. contracts	Other
AL 	✓	✓	✓	✓	✓	✓	✓	✗	✓	✓	✓	✓	✓	✓	✓	✓	✗	✗	✗	✗
BIH 	✓	✓	✓	✓	✓	✓	✓	✓	✓	✗	✓	✓	✓	✗	✓	✓	✗	✗	✓	✗
MDA 	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✗	✓	✓	✓	✓	✓	✓	✓
MNE 	✓	✓	✓	✓	✗	✗	✓	✓	✓	✗	✓	✓	✗	✗	✗	✓	✗	✗	✓	✗
NMK 	✓	✓	✓	✓	✓	✓	✓	✓	✓	✗	✓	✓	✓	✓	✓	✓	✗	✓	✓	✗
SRB 	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✗	✓	✗	✗	✗	✗
UA 	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✗	✓	✓	✓	✓	✓	✗	✗	✗	✓

Main features

Method of submission

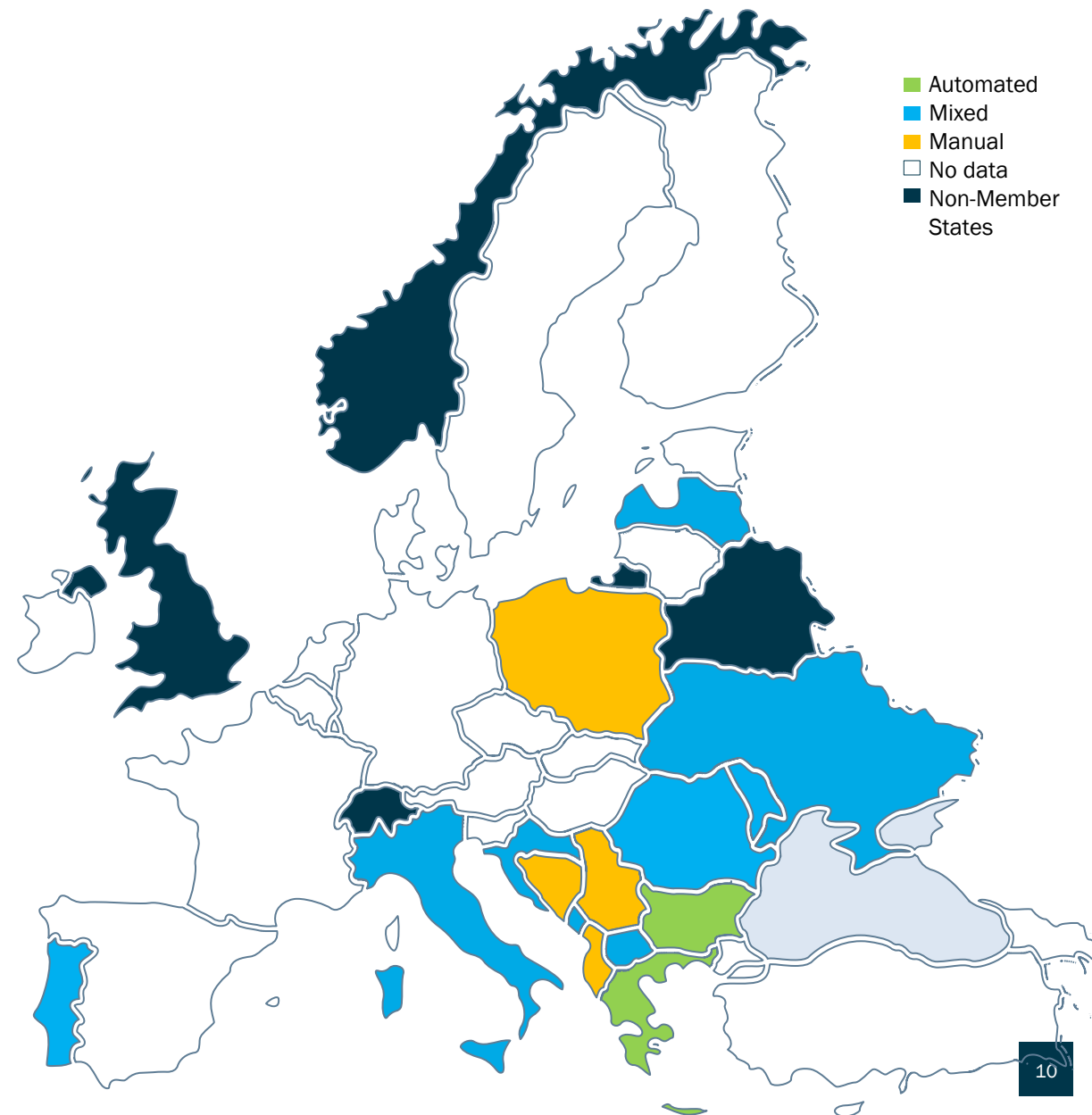
MSs	E-filing	  
	Mixed	   
	Paper	
CSs	E-filing	
	Mixed	    
	Paper	



Verification mechanism

Method of verification









MSs	Automated	 
	Mixed	    
	Manual	
CSs	Automated	
	Mixed	   
	Manual	  










Verification mechanism

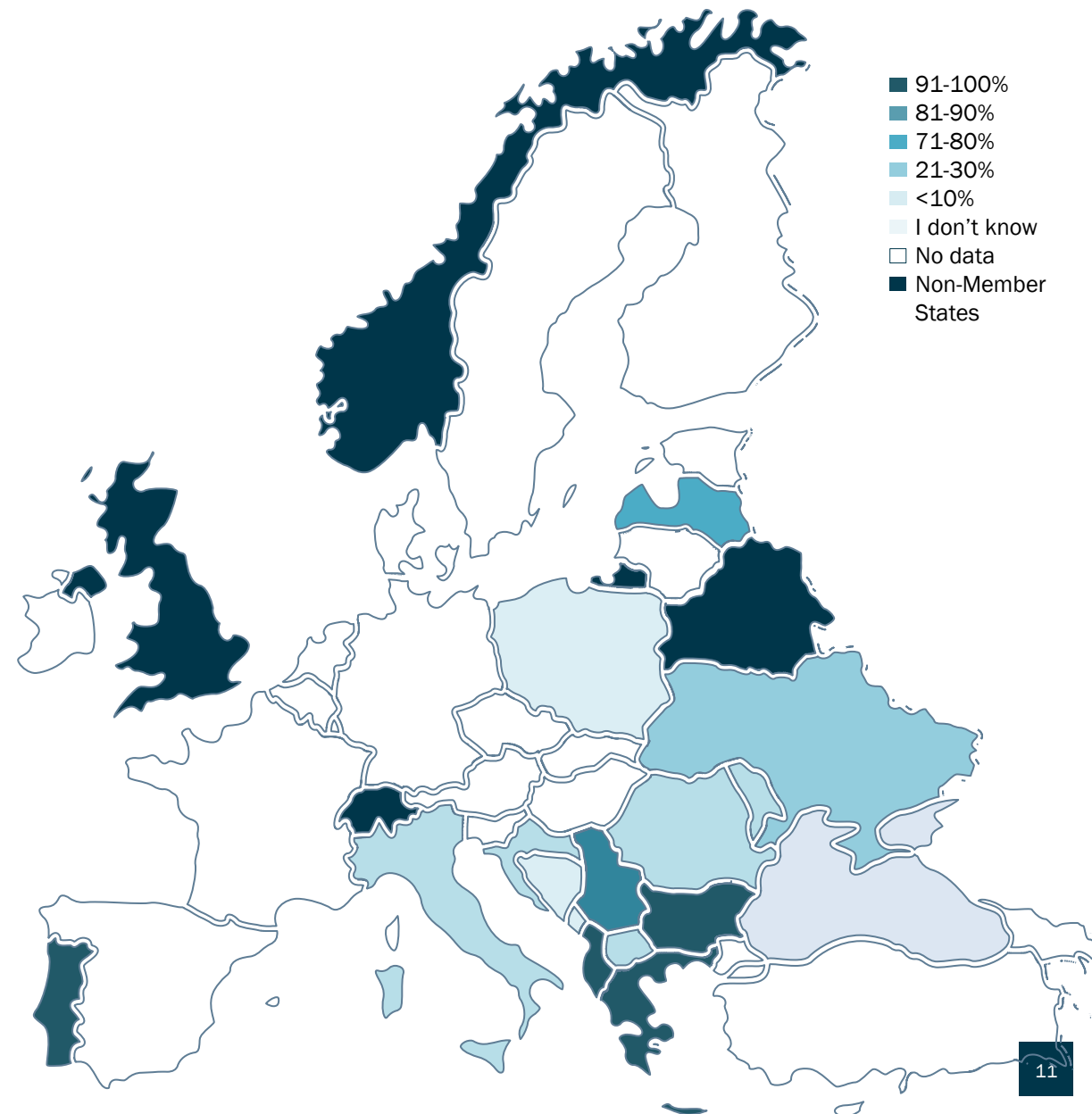
Percentage of declarations verified

MSs

91-100%	  
81-90%	
71-80%	
61-70%	
51-60%	
41-50%	
31-40%	
21-30%	
11-20%	
0-10%	  
I don't know	

CSs

91-100%	
81-90%	
71-80%	
61-70%	
51-60%	
41-50%	
31-40%	
21-30%	
11-20%	
0-10%	 
I don't know	 



Risk analysis

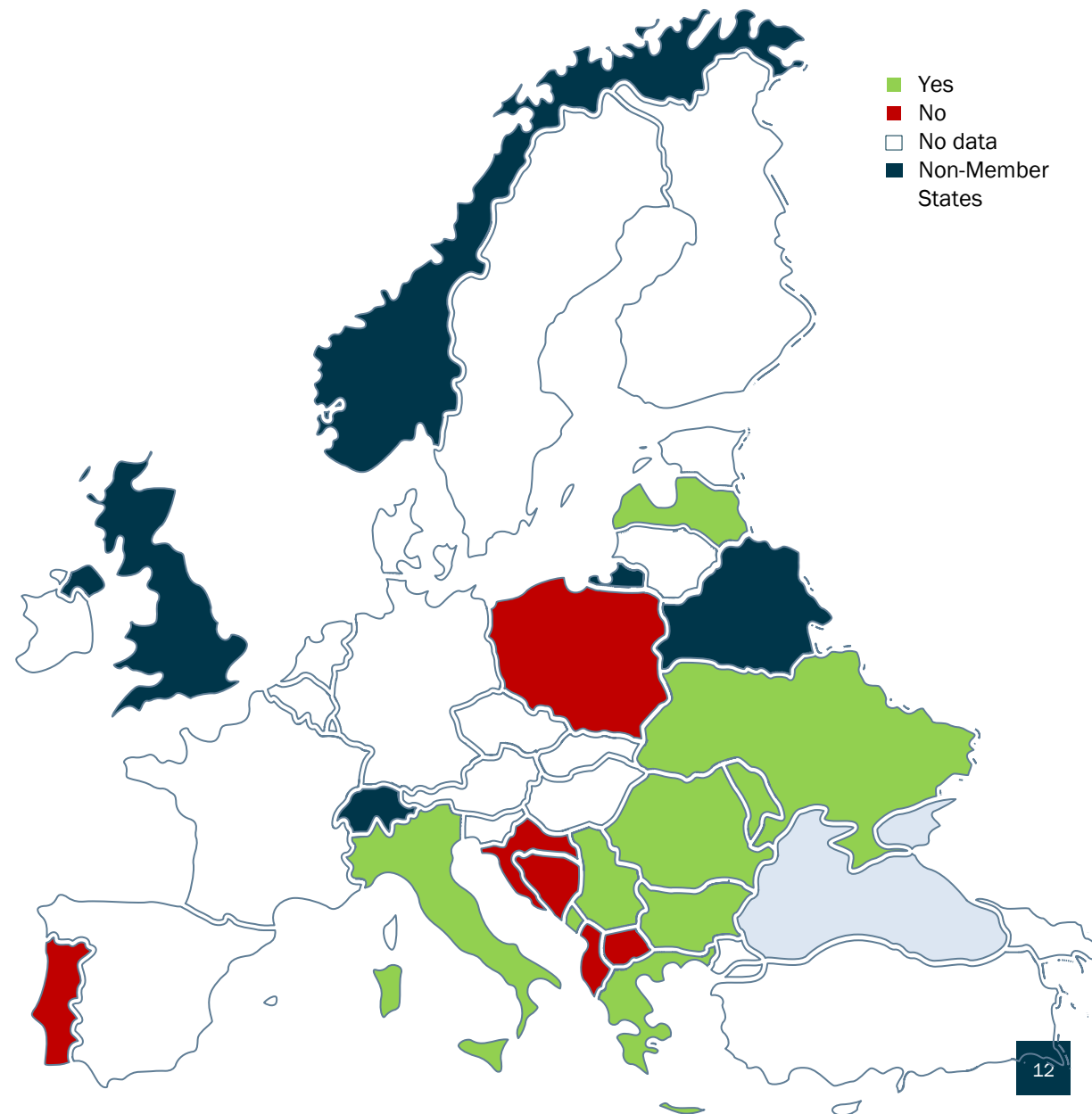
Is a risk analysis process included?

MSs

Yes	    
No	  

CSs

Yes	   
No	  



Risk analysis

Method of risk analysis

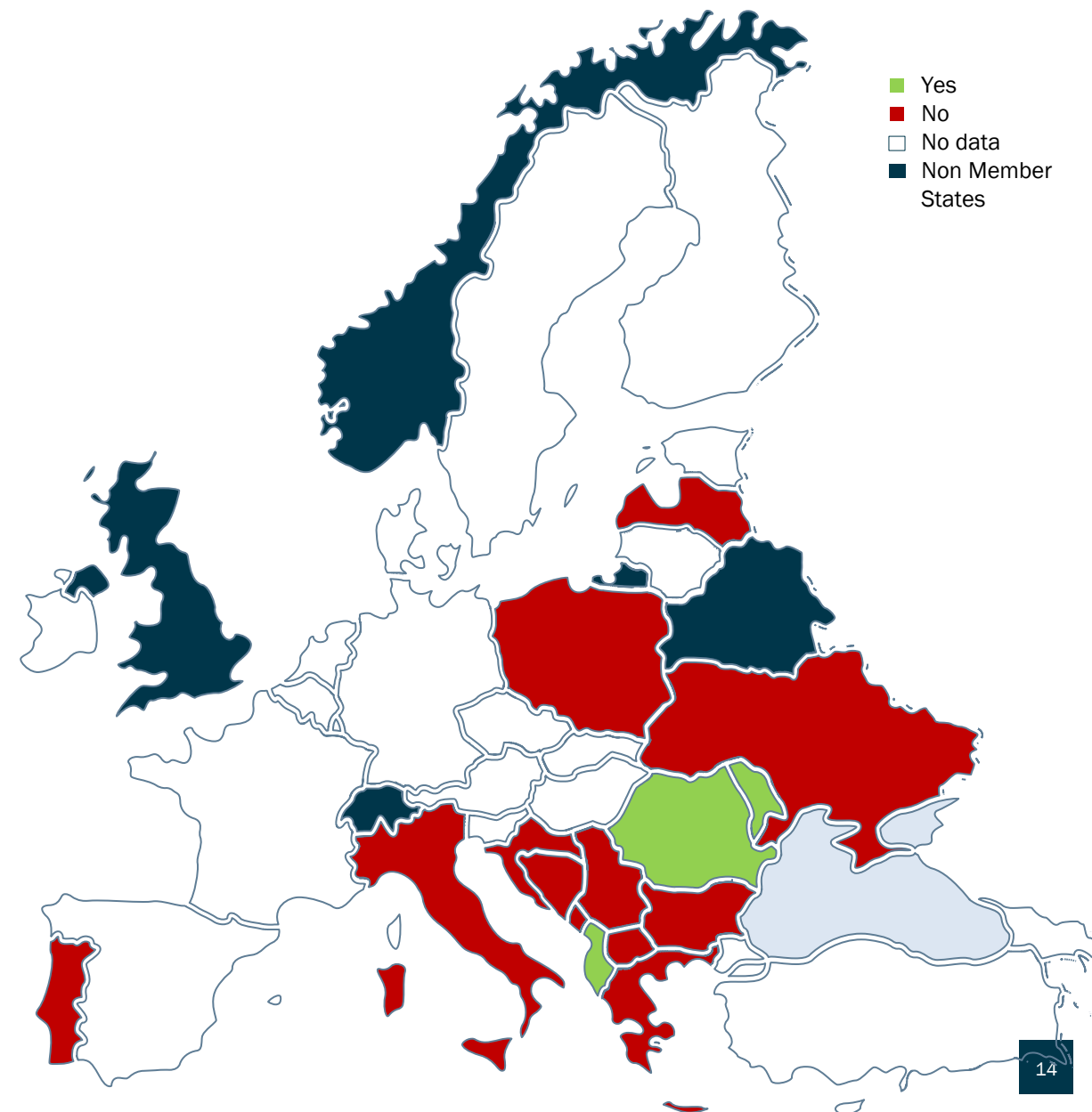
MSs	Automated	 
	Mixed	 
	Manual	
CSs	Automated	
	Mixed	 
	Manual	



Assessment




Do methods to assess the impact of AID systems exist?

MSs	Yes	
	No	      
CSs	Yes	 
	No	    



Assessment

Data used to assess the impact

AL 	MDA 	RO 
<ul style="list-style-type: none"> Annual reports and audits, analysis of performance data 	<ul style="list-style-type: none"> Data provided by ANI Journalistic investigations Notifications received from public institutions, NGOs, natural and legal persons 	<ul style="list-style-type: none"> Annual review International mechanisms based on comprehensive self-assessment checklists covering the functioning and results of the system

Final considerations

- Significant differences, especially between MSs and CSs
- **CSs** impose broader requirements
- In **MSs** more advanced digitalisation process

Challenges

- Digitalisation of the systems
- Risk-analysis process
 - How to identify at-risk declarations?
- Assessment of the impact
 - How to measure the impact?
 - When is a system successful?



Thank you for your attention!

gabriele.baratto@unitn.it | andrea.dinicola@unitn.it | beatrice.rigon@unitn.it

Project “qAID - Towards contemporary knowledge and innovative tools for assessing and enhancing effectiveness of Asset and Interest Disclosure (AID) systems in EU Member States and Candidate States”



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